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UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

BRIAN LAM; NINESQUARE CAPITAL  
PARTNERS LLC; NATHAN NHAN  
NGUYEN; and NGUYEN GROUP LLC,

Defendants,

and

YI PING LU; and THY STACY NGUYEN

Relief Defendants.

Case No. 2:22-cv-06831-MEMF-E

**JUDGMENT AS TO RELIEF DEFENDANT  
THY STACY NGUYEN [ECF NO. 30]**

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1 The Securities and Exchange Commission having filed a Complaint and Relief Defendant  
2 Thy Stacy Nguyen (“Relief Defendant”) having entered a general appearance; consented to the  
3 Court’s jurisdiction over Relief Defendant and the subject matter of this action; consented to entry of  
4 this Judgment without admitting or denying the allegations of the Complaint (except as to  
5 jurisdiction and except as otherwise provided herein in paragraph II); waived findings of fact and  
6 conclusions of law; and waived any right to appeal from this Judgment:

7 I.

8 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Relief Defendant shall pay  
9 disgorgement of ill-gotten gains and prejudgment interest thereon. The Court shall determine the  
10 amounts of the disgorgement upon motion of the Commission. Prejudgment interest shall be  
11 calculated from March 1, 2020, based on the rate of interest used by the Internal Revenue Service for  
12 the underpayment of federal income tax as set forth in 26 U.S.C. § 6621(a)(2). In connection with  
13 the Commission’s motion for disgorgement, and at any hearing held on such a motion: (a) Relief  
14 Defendant will be precluded from arguing that she did not violate the federal securities laws as  
15 alleged in the Complaint; (b) Relief Defendant may not challenge the validity of the Consent or this  
16 Judgment; (c) solely for the purposes of such motion, the allegations of the Complaint shall be  
17 accepted as and deemed true by the Court; and (d) the Court may determine the issues raised in the  
18 motion on the basis of affidavits, declarations, excerpts of sworn deposition or investigative  
19 testimony, and documentary evidence, without regard to the standards for summary judgment  
20 contained in Rule 56(c) of the Federal Rules of Civil Procedure. In connection with the  
21 Commission’s motion for disgorgement, the parties may take discovery, including discovery from  
22 appropriate non-parties.

23 II.

24 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for purposes of  
25 exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. §523, the  
26 allegations in the complaint are true and admitted by Relief Defendant, and further, any debt for  
27 disgorgement or prejudgment interest due by Relief Defendant under this Judgment or any other  
28 judgment, order, consent order, decree or settlement agreement entered in connection with this

1 proceeding, is a debt for the violation by Relief Defendant of the federal securities laws or any  
2 regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy  
3 Code, 11 U.S.C. §523(a)(19).

4 III.

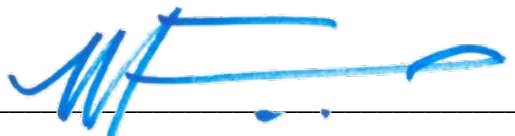
5 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain  
6 jurisdiction of this matter for the purposes of enforcing the terms of this Judgment.

7 IV.

8 There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil  
9 Procedure, the Clerk is ordered to enter this Judgment forthwith and without further notice.

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11 IT IS SO ORDERED.

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13 Dated: March 21, 2023

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MAAME EWUSI-MENSAH FRIMPONG  
15 United States District Judge  
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